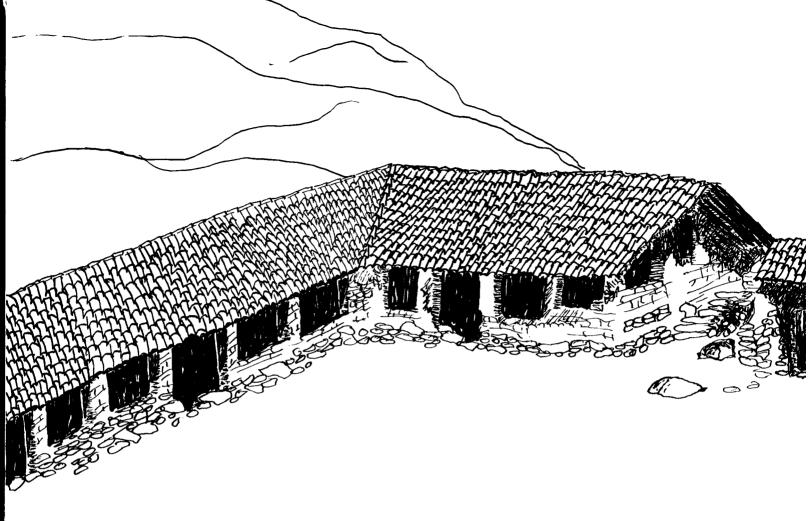
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Directed Cultural Change in Peru: A Guide to the Vicos Collection

10

Deborah A. Wood

DIRECTED CULTURAL CHANGE IN PERU: A GUIDE TO THE VICOS COLLECTION

Deborah A. Wood

Department of Manuscripts and University Archives

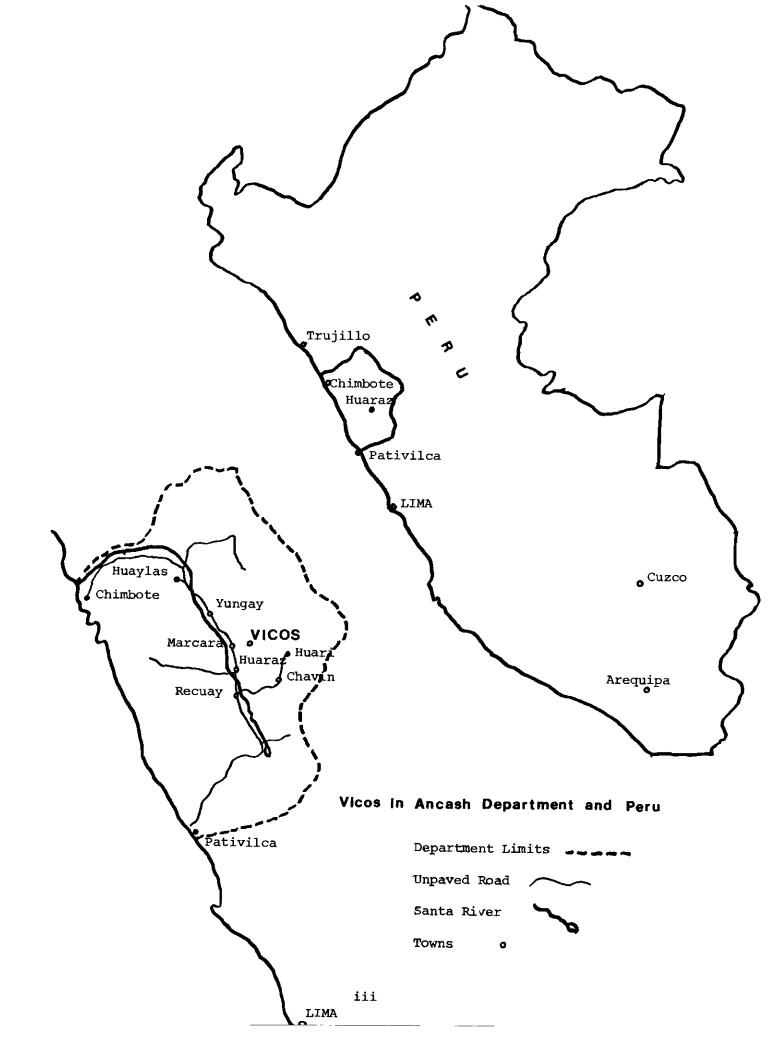
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1975

Wood, Deborah A Directed cultural change in Peru.

Bibliography: p.
1. Cornell Peru Project--Bibliography--Catalogs.
2. Vicos, Peru (Hacienda)--Bibliography--Catalogs.
I. Title.

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Preface

When behavior is documented by someone other than a participant, as is the case with survey records and observer field notes, archivists and manuscript curators are faced with the unfamiliar. For members of this department the Vicos Collection has been a grand opportunity for reconnaissance toward the objectives of physical and intellectual control over records generated in the social sciences.

Given our profession's capacity to influence the direction of scholarly inquiry by what we preserve (and don't preserve), by innocence concerning records created primarily for purposes of analyzing behavior and the way we present these records to users, we miss opportunities for an expanded brokerage. This report, designed to meet needs of social science, is part of our effort to catch up.

Thanks are due to Ms. Wood for initiative and resourcefulness, the Department of Anthropology at Cornell for encouragement and financial support, and last, but not least, Tom Hickerson, the Archives Department's supervisor of technical services, for generosity of outlook.

Gould P. Colman

Department of Manuscripts and

University Archives, Chairman

Chronological List of Funding and Personnel for Projects Included in the Vicos Collection*

- Carnegie Corporation of New York five-year grant for establishment of the Cornell University Studies in Culture and Applied Science program. Included teaching and research on cultural change in the American Southwest, India, Latin America, and Thailand.
- 1949 Preliminary study of Hacienda Vicos by Mario C. Vazquez.
- Carnegie Corporation of New York grant to Cornell University for continuation of CUSCAS, including five-year experiment in culture change at Vicos. Contributions also came from Wenner-Gren Foundation for Anthropological Research and the Social Science Research Council.
- 1951 Allan R. Holmberg, Director of the Cornell Peru Project, and Carlos Monge M., Director of the Instituto Indigenista Peruana, arranged for the cooperation of the two institutions.
- Finalization of the rental of Hacienda Vicos from the Sociedad de Beneficencia Publica de Huaraz. Patronship was jointly held by the Cornell Peru Project and the Instituto Indigenista Peruana, with Holmberg and Monge as co-directors. Holmberg acted as the first Field Director of the Vicos experimental station.
- 1952-
- 1953 William Mangin acted as Field Director of the Vicos experimental station.
- 1953 Cornell Methodology Project in Vicos, funded by a Rockefeller Foundation grant. Directed by Rose K. Goldsen, with assistance from Bryce Ryan and Richard Patch.
- 1953-
- 1956 William Blanchard acted as Field Director of the Vicos experimental station.
- 1954 May Kedney did a private study of the use of visual arts in education in the Vicos school.
- 1954-
- 1955 Cornell Methodology Project in Bang Chan, Thailand, funded by a Rockefeller Foundation grant. Directed by Max Ralis and Robert Textor.

^{*}Individual studies by graduate students or others supervised wholly or in part by Cornell staff are omitted from this listing but can be found in Cornell Peru Project bibliographies.

- Dr. Marshall T. Newman of the Division of Physical Anthropology, Smithsonian Institution, conducted growth and maturation studies with Vicos school children.
- 1956 Dr. Fred Allen of the Blood Grouping Laboratory of Boston, Mass., did blood groups studies.
- 19571962 Mario C. Vazquez acted as Field Director of the Vicos experimental
 station.
- 19591962 Henry F. Dobyns acted as Research Coordinator of the Cornell
 Peru Project.
- 1959-1964 Carnegie Corporation of New York grant for Cornell Peru Project.
- 1960-1965 Columbia-Cornell-Harvard-Illinois Summer Field Study Program.
- 1962 Transfer of community title to the Vicosinos finalized. Cost of the sale was 3,256,861 soles, 2 million of which was paid directly by the community.
- 1962 U.S. Peace Corps contract with Cornell University for the training of Peru III volunteers. Directed by Paul L. Doughty.
- 19621964 Paul L. Doughty acted as Research Coordinator of the Cornell
 Peru Project.
- 19631966 Comparative Studies of Cultural Change program, funded by a contract with the U.S. Agency for International Development (contract AID/csd-296). Included with the Cornell India and Thailand Projects, the Cornell Peru Project with Allan R. Holmberg as Director and Henry F. Dobyns as Associate Director of the Project and Coordinator of the Program. With the death of Holmberg in 1966, Dobyns became Director of the Cornell Peru Project, 1966-1967.
- 1964 Mario C. Vazquez acted as Research Coordinator of the Cornell Peru Project.
- 1964 Andean Indian Community Research and Development program, funded by a contract with the U.S. Agency for International Development (contract AID/ia-206).
- 1964 Colquiri, study of nationalized mines in Bolivia, requested by COMIBOL, the state mining corporation.

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The Vicos Collection

The documentation comprising the Vicos Collection is the result of activities centered in Vicos, Ancash, Peru, during the period of the Cornell Peru Project.

Most of the CPP (Cornell Peru Project) personnel were affiliated with the Department of Anthropology at Cornell University, which is where the Vicos Collection was accumulated. It was sent to the Department of Manuscripts and University Archives on December 13, 1972, at the initiative of Professor Robert J. Smith.

The chronological span of the collection is 26 years (1946-1972), although most material was produced between 1951 and 1966. In addition to documentation for the CPP, the collection also contains material from the Cornell Cross-Cultural Methodology Project of the 1950's, part of which took place in Vicos. Material resulting from non-CPP activities is described in the scope and content notes for the appropriate series.

Professor Allan R. Holmberg organized the CPP as part of a project funded by the Carnegie Corporation of New York to study the effects of technological change on pre-modern societies. Vicos, a sierra hacienda located in the Callejon de Huaylas, Ancash, Peru, was the scene of preliminary studies by Holmberg and Mario C. Vazquez, a Peruvian anthropologist. The Hacienda was controlled by the Sociedad Publica de Beneficencia de Huaraz, which rented it for ten year periods. Holmberg arranged with the IIP (Instituto Indigenista Peruana) for the CPP and IIP to jointly rent Hacienda Vicos. He and Dr. Carlos Monge M., Director of IIP, served as co-directors of the project, starting in 1952.

By renting the Hacienda, Holmberg saw an opportunity to establish a community laboratory where research and development programs could be carried on simultaneously. He interpreted the implications and accomplishments of the research and development approach in his article "The Research and Development Approach to the Study of Change." The phrase "participant intervention" was used by Holmberg to describe how the CPP introduced changes into the Vicosinos'lives. The CPP continued in operation after 1962 as part of the Comparative Studies of Cultural Change program funded by USAID. Interest in the activities of the CPP had waned by the time of Holmberg's death in 1966, when Henry F. Dobyns took over as Director until 1967; and as no one provided new impetus for the project, activities were not renewed after 1967.

Holmberg spent the academic year 1954-1955 at the Center for Advanced Study in the Behavioral Sciences. During this time he collaborated with John Kennedy, Harold Lasswell, and Charles Lindblom, social scientists in fields other than anthropology who were also interested in experimental intervention in social organization. Vicos was chosen as the ideal situation for attempting to use the concept of processing data from field research and subsequently incorporating results into decision-making. While at the Center, Holmberg adopted Lasswell's system of eight important areas of human value preference as his theoretical basis for analyzing and operating the CPP. Power, enlightenment, wealth, well-being, skill, affection, respect, and rectitude are the eight areas of value preference in Lasswell's theory, promoted and distributed to a maximum level through positive social changes within societal institutions.

Allan R. Holmberg, "The Research and Development Approach to the Study of Change," in Human Organization, XVII, No. 1 (1958), pp. 12-16.

In the article "Dynamic Functionalism," Holmberg explains how the eight categories serve as frames of reference for his investigations and comparisons of societal processes.² The book <u>Peasants</u>, <u>Power</u>, <u>and Applied Social Change</u> is a collection of articles by social scientists who worked in Vicos. All employ the Holmberg-Lasswell eight value model and are "concerned with showing how the Vicosinos come to achieve a 'wider sharing' of positive values than they had had under the manorial regime."³

The focal value of <u>Peasants</u>, <u>Power and Applied Social Change</u> is power: The role of power in opening the door to change was appreciated by the CPP leadership right from the beginning when it assumed the position of <u>patron</u> of the Vicos manor with all the absolute privileges that the role contained.

Power was recognized as a key factor in change, and the distribution of power, in the forms of responsibility and participation in decision-making, to members of the community was a major goal of the CPP. The CPP attempted to create a decision-making power base for the community through the <u>mayorales</u>, a political group within the hacienda system. Although the <u>mayorales</u> were older men, perhaps less susceptible to innovation than other members of the community, it was felt best to work through a traditional authority group.

Where publications of CPP personnel provide only generalized reports emphasizing the theoretical model, the contents of the Vicos Collection provide insights concerning the actual impact made on Vicos society by CPP activities, as well as the extent to which results predicted by the model concur with what

²Allan R. Holmberg, "Dynamic Functionalism," in <u>Politics, Personality</u>, and <u>Social Science in the Twentieth Century</u>, ed. by Arnold A. Rogow, (The U. of Chicago Press, Chicago, 1969).

³Henry F. Dobyns, Paul L. Doughty, and Harold D. Lasswell, eds., <u>Peasants</u>, <u>Power, and Applied Social Change</u>, (Sage Publications, Inc., Beverly Hills, 1964). p. 15.

⁴ibid., p. 15.

happened. In the case of the <u>mayorales</u>, field notes bring out how the CPP operations on the Hacienda changed the <u>mayorales</u>' traditional role of overseeing the work force. This caused some resentment among the <u>mayorales</u>, as well as a change in their position with respect to the community. The gap between theoretical constructs in publications and field notes from which they are derived can only by filled by those responsible for the original research. Within the collection there are also extensive gaps in the documentation which make the descriptions of activities incomplete. However, contrasts between the content of the publications and the field notes provide insight into both the theory and the field situation.

The Vicos Collection has added significance when viewed in the context of university research. Strong national commitment to spreading U.S. ideology and technology throughout the world during the fifties and early sixties touched many U.S. organizations, including universities. The foreign policy, based on four main courses of action (Point Four Program), aimed at a breadth, scale, and integration of activities which could meet the monumental task of reaching the entire "Free World." In his inaugural address, President Truman made clear the scope of action envisaged:

I believe that we should make available to peace-loving peoples the benefits of our store of technical knowledge in order to help them realize their aspirations for a better life.⁵

University research, aimed at promoting and stimulating technological change in underdeveloped areas, was funded by foundations and governmental

⁵Point Four Background and Program, (Washington: U.S. Government Printing Office, 1949), p. 21.

agencies. In Vicos, anthropologists of the CPP analyzed the social structures, then used their research as a guideline for introducing innovations where they would most effectively improve the standard of living. Mass media presented these changes to the American public as positive in that they supported American goals of that time. The Vicosinos were portrayed as serfs who were liberated by the activities of the CPP to participate in the modern world. However, the extent to which values that foster technological advance were different from those of the Vicosinos greatly complicated the process of introducing technology. As has been pointed out, the greatest short-comings of the theoretical reports on Vicos lie in the incomplete analyses of the changes which occurred.

The research and development approach undertaken in Vicos was ambitious in size and complexity. It was expected to provide tests of research hypotheses, as well as to assure a sound base for development plans. Most of the deficiencies of the research and development program in Vicos were due to insufficient funds for carrying out the scope of operations at an acceptible level of thoroughness. Adams and Cumberland come to this conclusion in their analysis of the CPP, and it is confirmed by evidence in the collection. That field researchers had to devote much time to administration becomes apparent from field notes. Another consequence of insufficient funding was a lack of analysis for field data collected in the early part of the project, which

⁶A thorough examination of the effectiveness of the research and development program at Vicos is found in Richard N. Adams' and Charles C. Cumberland's <u>U.S. University Cooperation in Latin America</u>, (Institute of Research on Overseas Programs, Michigan State University, 1960).

resulted in the absence of a base line for comparative studies. Within the collection no distinction can be made between material produced from research activities or from development activities. Lack of funds made it difficult to maintain the distinction between the two types of activities, inhibiting application of the research and development method.

Efforts by the CPP to improve the standard of living in Vicos centered on agriculture, public health, and education. There is information in the collection about the impact of these programs, going from the community level to the national level. But the many changes which occurred in Vicos and the Peruvian government since the residence of the CPP mean the impacts reported have not continued exerting influences in the same manner. projects organized by the CPP were believed to be positive accomplishments by the project personnel and the Peruvian governmental agencies involved. Construction of the school with community funds was the start of a well publicized education program. By 1957 the school was made a Nucleo Escolar Campesino, providing adult literacy courses and technical training programs as well as primary level education. The public health program was organized through the cooperation of the CPP, SCIPA (Servicio Cooperativo Inter-Americano de Produccion de Alimentos), and SCISP (Servicio Cooperativo Inter-Americano de Salud Publica). Agricultural improvements were centered on the introduction of new seed potatoes and techniques to improve yield (fertilizer, DDT, planting methods). The cases of misunderstanding or opposition to these projects reported were attributed to Mestizos resentful of losing their authority over the Vicosinos, other landowners whose holdings were put in danger of

expropriation, and occasional inaccurate reports in Lima.

The impact of the CPP on the Vicosinos themselves is best documented in the collection. Changes in their socio-economic level are documented in published reports, but the changes which nesessarily occurred in other aspects of Vicos culture are mentioned only in field notes.

In his Ph.D. thesis, The Utilization of Research for Development: Two Case Studies in Rural Modernization and Agriculture in Peru, James Himes examines what was the impact of the CPP on Peru, from the perspective of 1972. His conclusions are supported by interviews with social scientists and government officials who are either connected with the activities of the CPP, or in a position to utilize the results of the project. He received mixed reports on the impact attributable to the CPP, but the overall conclusion which emerges is that while the strictly technical developmental procedures instituted at Vicos have been utilized, social science research done at Vicos has made little impression. Himes also concludes that the use of well trained social scientists in developmental projects was strongly resisted in governmental agencies. There is evidence in the collection indicating that from the start the technique of using research for development in Vicos was not incorporated into Peruvian governmental planning activities. For example, obstructions to the employment of social scientists for consultation and assistance in developing public health programs are the subject of correspondence between

⁷James R. Himes, <u>The Utilization of Research for Development: Two Case Studies in Rural Modernization and Agriculture in Peru</u>, (Ph.D. Thesis, Princeton University, 1972).

SCISP personnel and the Vicos field director.8

Mario Vazquez, through his position in the <u>Reforma Agraria</u> organization is now one of very few social scientists employed in governmental agencies. He is head of the Office for Peasant Communities in the Ministry of Agriculture, in a position which calls on him to handle large administrative demands, rather than to use his training as a social scientist.

The CPP also had an impact on U.S. institutions supporting its activities, due to the ambitious undertakings and unusual circumstances of the project. During the 1950's, the Department of Anthropology and Sociology at Cornell was shaped to a large degree by the project. CPP activities helped to prompt the start of the Latin American Studies program, the Center for International Studies, and the Peace Corps training program at Cornell. The U.S. government, through the Agency for International Development, contracted with members of the CPP for studies on how to most effectively execute foreign aid programs directed toward cultural change.

When the Vicos Collection arrived at the archives the original filing system was not discernable. The separation between CPP materials and Methodology Project materials was distinct, however, and care was taken to preserve this. The collection was arranged in order to make information easily accessible and to keep related materials in context. The content of folders, other than ones to which additions were made, have not been rearranged.

⁸Box 2, folder 14, Vicos Collection, Department of Manuscripts and University Archives, Cornell University, Ithaca, N.Y.

Additions to the collection were made in order to expand the scope of the documentation, or to replace material listed as being in the collection that was not there. In the folder listings, places where additions have been made are marked by asterisks next to the folder numbers. The items added as replacements are all located in the bibliographic series and have not been asterisked. One item in the collection, the CBS film So That Men Are Free, is located in the Anthropology Department, McGraw Hall.

The Department of Anthropology, Cornell University, provided support for the arrangement of the Vicos Collection and creation of this guide.

Guidance, supplies, and technical assistance were provided by the Department of Manuscripts and University Archives, Cornell University.

Deborah A. Wood Cornell University July 1975 Collection: The Vicos Collection

- I. Sub Group: The Cornell Peru Project
 - A. Series 1: Cornell Peru Project Administrative Materials
 - 1. Subseries 1: Administrative Papers and General Information
 - a. Section 1: Administrative Reports and General Information
 - b. Section 2: Individual Reports and Projects, and Correspondence
 - c. Section 3: Analytical Models and Techniques
 - 2. Subseries 2: Administrative Correspondence
 - a. Section 1: Correspondence Between CPP and IIP
 - b. Section 2: Correspondence Between Vicos Field Station and Outside Individuals or Organizations
 - 3. Subseries 3: Financial Accounts and Labor Records
 - B. Series 2: Cornell Peru Project Field Data
 - 1. Subseries 1: Field Notebooks
 - 2. Subseries 2: Environmental and Land Tenure Data
 - 3. Subseries 3: HRAF Files
 - 4. Subseries 4: Censuses and Surveys
 - 5. Subseries 5: Health Clinic Records
 - C. Series 3: Reprints, Publications and Papers (bibliography)
 - D. Series 4: Microfilm, Tapes, IBM Punch Cards
 - E. Series 5: Visual Materials
- II. Sub Group: The Cornell Methodology Project
 - A. Series 1: Cornell Methodology Project, Administration and Operation in Vicos, Ancash, Peru
 - 1. Subseries 1: Lists of Informants and Respondents
 - 2. Subseries 2: Development of Classification System and Analytic Models
 - 3. Subseries 3: Census and Qualitative Survey Coding Information
 - B. Series 2: Cornell Methodology Project Field Data from Vicos, Ancash, Peru
 - 1. Subseries 1: Field Notes and Life Histories from Tareas 1-4
 - 2. Subseries 2: 1952 Census Forms
 - 3. Subseries 3: Qualitative Survey Interviews
 - C. Series 3: Cornell Cross-Cultural Methodology Project in Thailand and India
 - 1. Subseries 1: Administrative Notes and Field Data
 - 2. Subseries 2: Thailand Questionnaire Answer Sheets

KEY TO FOLDER LISTINGS

The format for folder listings is computer compatible, that is compatible with the National Archives automated indexing system SPINDEX II. In this way information on the contents of the collection could easily be transferred to a computerized finding aid. This listing system can index the collection by title, by subjects, by personal names, by date, by names of significant organizations, and by geographic place names.

- a. File unit title.
- b. Key words which identify the subject matter of the unit.
- c. Personal names of individuals who are significant in the unit.
- d. Inclusive dates.
- e. Organizations which are significant in the unit.
- f. Physical types of material in the unit.
- g. Geographical location.

This format does not apply to the listings for the following series: Sub Group I: Series 3, Series 4, Series 5.

Folder numbers that are asterisked mean that the folder contains material added by the arranger.

Sub Group I

Series 1

Cornell Peru Project Administrative Materials

In this series many types of material resulting from administration of the Vicos field station and the activities of various projects done under the auspices of the CPP (Cornell Peru Project) are included. The three major types of material are distinguished by the three subseries.

Subseries one consists of administrative papers and general information. Important types of material are notes, reports, correspondence and question-naires. Activities and administrative considerations throughout the time of Cornell's involvement in Vicos are documented; items include Cornell Peru Project proposals and progress reports, and a copy of the Vicos purchase agreement. This subseries is arranged in three subject sections: first, administrative reports and general information; second, individual reports and projects, and correspondence; and third, analytical models and techniques.

Subseries two consists of administrative correspondence, mostly from 1953-1956 while William C. Blanchard was field director in Vicos. The inclusive dates are 1951-1956. There are two sections in this part: one, correspondence between the CPP and the IPP (Instituto Indigenista Peruana); and two, between the Vicos field station and outside individuals or organizations. The correspondence came to the archives arranged in this manner; it had been maintained as a file by Maxine and William Blanchard while they were in Vicos. In the first section activities and problems arising from joint administration of Hacienda Vicos by CPP and IIP are central topics. This section is arranged chronologically. The chief correspondents are William C. Blanchard, Carlos Monge M., Director of IIP, and Manuel D. Velasco Nunez, Secretary General of IIP. In the second section, the correspondence relates to the organization and maintenance of hacienda operations or to arrangements for studies to be conducted at Vicos. The financial accounts of CPP with various banks are included in this section. The arrangement is alphabetical by sender.

The final subseries consists of financial accounts and labor records of the CPP. The records of hacienda expenditures, the school construction account, and records of seed and produce sales are notable for showing how work on the hacienda was organized. These records are in bound volumes and cover the years 1952-1956.

14/25/1529

<u>Box</u>	<u>Folder</u>	Description	
		Subseries 1:	Administrative Papers and General Information
		Section 1:	Administrative Reports and General Information
1	1		a. Vicos Purchase Agreementb. hacienda ownership - transfer to communityd. 1962
			e. Cornell Peru Project, Ministerio de Trabajo y Asuntos Indigenas, Sociedad de Beneficencia Publica de Huaraz
			f. legal document
			g. Vicos, Ancash, Peru
	2		 a. Holmberg Proposal '55 and 1st Progress Report b. proposal - behavioral science research and development project, improvements hacienda Vicos
			c. Holmberg, Allan R.
			d. 1952–1955
-			f. reports g. Vicos, Ancash, Peru
			g. 1305, mount, 1514
	3		a. Cornell Peru Project Report 1963-1964
			 improvements-hacienda Vicos, Peace Corps project activities, U.S. enterprise in Latin America-problems d. 1957-1964
			e. Cornell Peru Project, Plan Nacional de Integracion de la Poblacion Aborigen, Peace Corps
			f. report, article
			g. Latin America; Vicos, Ancash, Peru
	*4		a. Comparative Studies of Cultural Change
			 proposal-institutional change and economic growth, research proposal-technological change research-
			Vicos, budget-five year study c. Morse, Chandler; Wolf, Charles Jr.
			d. 1951-1954
			e. Carnegie Corp.
			f. reports
			g. Cornell U., N.Y., USA
	* 5		a. Administration Dept. of Anthropology
			 mailing list, personnel, department files, policy, custodial duties-collections, Peru-Cornell relations,
			<pre>inventory of Peru project materials, project termination c. Roberts, John M.; Lynch, Thomas F.; Vazquez, Mario C.; Dobyns, Henry F.</pre>
			d. 1964-1966
			e. Cornell U. Dept. of Anthropology, Cornell Peru Project
			f correctordence lists memoranda report

f. correspondence, lists, memoranda, reportg. Cornell U., N.Y., USA

<u>Box</u>	Folder	Description	
		Subseries 1:	Administrative Papers and General Information (cont.)
		Section 1:	Administrative Reports and General Information (cont.)
1	6		 a. Peru: Laws 1820-1961 Indian Affairs/Land b. agrarian indigenists laws d. 1820-1962 f. lists g. Peru
	7		 a. Vicos Publicity '53-'57 b. improvements-hacienda Vicos, nutrition studies, hacienda ownership-transfer to community d. 1953-1957 f. articles, clippings g. Peru; Vicos, Ancash, Peru
	8		a. Vicos Background Publications b. liberal education, ethnological investigation d. 1953 f. pamphlets g. Puerto Rico; Lima, Peru Bibliography: Matos Mar, Jose, et al., Investigaciones Ethologicas en Huarochiri (Peru), 1953 Valcarcel, Luis E., El Conocimiento Cientifico de los Pueblos del Peru, 1953 Villaronga, Mariano, La Educacion Liberal, 1953
		Section 2:	Individual Reports and Projects, and Correspondence
1	9		 a. Vicos: Teaching Unit Elementary School b. Social Studies resource unit, Incas, hacienda system d. 1965 e. Newton Schools Foundation, Inc. f. photographs, outlines g. West Newton, Massachusetts, USA
	10		 a. Marcara Data H. Ghersi Barrera b. dramas"Los Autos Sacramentales" and "El Sacrificio de Mariz Parado de Bellido," layout sketches of Marcara locale, local govt. problems, Dept. of Ancashsource bibliography, mine diasasterQuebrado, Honda, flood 1949, Ancash description, record fragmentsHacienda Vicos 1900-1915 c. Vellard, Jean d. 1949-1950 e. Universidad Mayor de San Marcos f. scripts, maps, correspondence, sketch, list, photos, post cards, negatives, clippings, broadsides

Box	Folder		Description
		Subseries l:	Administrative Papers and General Information (cont.)
		Section 2:	Individual Reports and Projects, and Correspondence
1	11		 a. Biography of Herminio Colonia b. life history c. Colonia, Herminio; Vazquez, Mario C. d. 1954-1958 f. report
	12		 a. Hilario Gonzalez b. life history c. Gonzalez, Hilario; Stein, William W. d. 1965 f. manuscript g. Vicos, Ancash, Peru
	13		 a. Viru-Peasants MS, Vazquez b. land tenure, agricultural practices, rural population c. Vazquez, Mario C. d. 1964 f. report g. Viru, Peru
	14		a. Vicos Peruvian Student Reportsb. missingd. n.d.f. list
	15		 a. Cornell Peru Project Reports b. Vicos project activities, photographic essay, fieldworker instructions for tareas, New Seed Potatoes introduction, cross-cultural methodology study design, participant intervention justification, research and development studies, school children artwork, economic development studiesSanta Corp., culture change studiesHualcan d. 1952-1959 e. Cornell Methodology Project, Cornell Peru Project, Corp. Peruana del Santa f. reports, articles, periodical, list g. Vicos, Ancash, Peru; Peru; Hualcan, Ancash, Peru Bibliography: Blanchard, William C., Anthropological Study and Experiment at Hacienda Vicos, 1954 Collier, John Jr., Vicos A Story of Anthropology: A Photographic Account of Social Science Helping a Backward People, n.d.

Box Folder

Description

Subseries 1: Administrative Papers and General Information (cont.)

Section 2: Individual Reports and Projects, and Correspondence (cont.)

1 15 (cont.)

Bibliography (cont.)

Collier, John Jr. and Mary, "An Experiment in Applied Anthropology" Scientific American 196:1, 1957

Goldsen, Rose K., <u>Instructions Given to Field-workers</u>, 1953

<u>Matching of Items in Vicos Questionnaire</u> with Concepts They are Designed to Measure, n.d.

<u>Classification System for Vicos Qualitative</u>
<u>Data</u>, 1954-55

The Introduction of NSP in Vicos: The Story Line, 1955

Holmberg, Allan R., <u>Experimental Intervention</u> in a Field Situation, 1955

"Participant Intervention in the Field" Human Organization 14:1, 1955

Some Experiments in Socioeconomic Change in an Underdeveloped Area, n.d.

"The Research and Development Approach to the Study of Change," Human Organization 17:1, 1958

"Land Tenure and Planned Social Change: A Case from Vicos, Peru," <u>Human Organization</u> 18:1, 1959

Kedney, May, A Report of work with Thirteen Children in the Hacienda Vicos School, 1954

Montalvo, Abner, <u>Salud Publica y una Pelicula en</u> Vicos, 1955

Patch, Richard, Comparative Economic Systems of Non-Western People, 1953

Corporacion Peruana del Santa and the Economic Development of Peru, 1953

Seed Potato Tarea Variables, n.d.

Stein, William W., <u>Cultural Stability and Change in</u> Hualcan, and Andean Indian Estancia, 1952

List of missing items.

- a. Student Reports, Summer Field Study
- b. field studies--locations--topics
- d. 1965
- e. Columbia-Cornell-Harvard-Illinois Summer Field Study
 Program
- f. lists
- g. Columbia University, N.Y., USA

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Box	Folder	•	Description
		Subseries 1:	Administrative Papers and General Information (cont.)
		Section 2:	Individual Reports and Projects, and Correspondence (cont.)
1	17		a. Viru-1965-Prelims, Anthropology 412, Summer Field Program
			 b. student project proposals c. Brewer, Sharon; Bengelsdorf, Carol L.; Bourque, Susie; Gilbert, Gordon; Hahn, Robert; Hollerbach, Paula E.; Richardson, Gail; Taylor
			d. 1965
			e. Cornell U. Dept. of Anthropology
			f. reports, bibliography, correspondence
			g. Viru, Peru
	18		a. Permission to Publish
			b. publication approval
			c. Dobyns, Henry F.
			d. 1964-1966
			e. Contract No. AID/csd-292, Comparative Studies of Cultural Change
			f. correspondence
	19		a. Comparative Studies of Cultural ChangeAID
	19		b. Bolivia studies, reports distribution publication approval, progress report AID
			c. Dobyns, Henry F.; Holmberg, Allan R.; Turner, Frank L.
			d. 1962–1965
			e. Comparative Studies of Cultural Change, Contract No. AID/csd-296
			f. correspondence, memoranda, report
	20		a. Holmberg-Vazquez Holmberg-Murdock
			 b. guerilla activities, Peru-Cornell cooperation, publication approval
			c. Guevara, Che; Vazquez, Mario C.; Murdock, George P.; Holmberg, Allan R.
			d. 1965-1966
			e. Inst. of Inter-American Affairs, Public Health Division
			f. correspondence
			g. Lima, Peru
	21		a. Technicon
			b. storage facilities
			d. 1964-1965
			e. Cornell University Department of Anthropology
			f. pamphlets, correspondence, inventory

Вох	<u>Folder</u>	-	Description
		Subseries 1:	Administrative Papers and General Information (cont.)
		Section 3:	Analytical Models and Techniques
1	22		 a. Marcará Household Census Questionnaire and Code b. Household Census variables, Household census coding design
			d. n.d.
			f. lists g. Marcará, Ancash, Peru
			g. Introduct, Intodon, Icha
	23		a. Vicos Questionnaires
			b. data gatheringc. Patch, Richard W.
			d. 1953
			f. questionnaires
			g. Vicos, Ancash, Peru; Callejon de Huaylas, Ancash, Peru
	24		a. Recuayhuanca Questionnaires
			b. interview for district officials
			c. Snyder, Joan
			d. n.d.
			f. questionnaire
			g. Recuayhuanca, Ancash, Peru
	25		a. Vicos Questionnaires
			 interviewer suggestions, data gathering
			c. Snyder, Joan; Patch, Richard W.
			d. n.d.
			f. questionnaires, list g. Recuayhuanca, Ancash, Peru; Vicos, Ancash, Peru;
			g. Recuayhuanca, Ancash, Peru; Vicos, Ancash, Peru; Hualcan, Ancash,Peru
	26		a. Vicos Analytical Models
			b. Input-output analysis system
			c. Hopper, W. David
			d. n.d. f. reports
			f. reports g. Vicos, Ancash, Peru
	27		a. Vicos: New Seed Potato Analysis Sheets
			 introduction of New Seed Potato, New Seed Potato use analysis
			d. 1952-1953
			f. report, questionnaires
			g. Vicos, Ancash, Peru
	28		a. Vicos: New Seed Potato Analysis Sheets
			b. New Seed Potato use analysis
			d. 1953-1954
			f. questionnaires
			g. Vicos, Ancash, Peru

Box	Folder		Description
		Subseries 2:	Administrative correspondence
		Section 1:	Correspondence Between Cornell Peru Project and Instituto Indigenista Peruano
2	1		 a. Instituto Indigenista PeruanoCornell Peru Project Correspondence b. project activities authorization, project supplies acquisition, Vicos school arrangements, Chancos maintenance, medical survey, administrative transactions c. Mangin, William; Monge Medrano, Carlos; Velasco Nuñez, Manuel D.; Blanchard, William C.; Holmberg, Allan R. d. 1953 e. Instituto Indigenista Peruano, Cornell Peru Project, Universidad Nacional Mayor de San Marcos de Lima f. correspondence, receipts, telegrams g. Vicos, Ancash, Peru; Lima, Peru
	2		 a. Instituto Indigenista PeruanoCornell Peru Project Correspondence b. organizing project studies, medical survey, Vicos school arrangements, progress reports, adult education, project supplies acquisition, agricultural program, administrative transactions, public health studies, future jurisdiction of Vicos, acculturationradio presentation c. Blanchard, William C.; Monge M., Carlos; Velasco Nuñez, Manuel D.; Gil Quevedo, Ciro; Collier, John Jr. d. 1954 e. Instituto Indigenista Peruano; Cornell Peru Project; Proyecto Programo Pativilca-Huarez-Huaylas del Ministerio de Salud; Compañía Administradora del Guano f. correspondence, telegrams, reports, receipts
	3		 g. Vicos, Ancash, Peru; Lima, Peru a. Instituto Indigenista PeruanoCornell Peru Project

Box	<u>Folder</u>		Description
		Subseries 2:	Administrative correspondence
		Section 1:	Correspondence Between Cornell Peru Project and Instituto Indigenista Peruano
2	3(cont.)	 d. 1955 e. Instituto Indigenista Peruano, Cornell Peru Project,
	4		 a. Instituto Indigenista PeruanoCornell Peru Project Correspondence b. administrative transactions, Vicos school arrange- ments, project supplies acquisition, progress reports, anthropometric study arrangements, products and work of rural schoolsexposition, project reorganization c. Blanchard, William C.; Velasco Nuñez, Manuel D.; Monge M., Carlos; Newman, Marshall T.; Gil Quevado, Ciro; Allen, Fred H. Jr.; Holmberg, Allan R. d. 1956 e. Instituto Indigenista Peruano, Cornell Peru Project, Smithsonian Institution f. correspondence, telegrams, reports, receipts, inventory g. Vicos, Ancash, Peru; Lima, Peru
		Section 2:	Correspondence Between Vicos Field Station and Outside Individuals or Organizations
2	5		 a. A b. blood grouping study, shipping arrangements, hacienda construction, indigenous education c. Alers-Montalvo, Manuel; Blanchard, William C.; Allen, Fred H. Jr.; Almandós García, Aliro; Anselmi, M. S. d. 1953-1956 e. Instituto Interamericano de Ciencias Agrícolas, The Blood Grouping Laboratory, Cornell Peru Project, Alltransport S.A. f. correspondence, telegram, receipts, report g. Vicos, Ancash, Peru; Lima, Peru; Boston, Mass., USA
	6		 a. B b. project financial account, duplication of Methodology project notes, hacienda lease c. Blanchard, William C.; Barnett, Clifford; Basto Girón, Luis J.; Solís Carrión, José

Вох	Folder	Description
	Subseries 2:	Administrative correspondence
	Section 2:	Correspondence Between Vicos Field Station and Outside Individuals or Organizations
2	6(cont.)	 d. 1953-1956 e. Banco de Credito del Peru, Sociedad Pública de Beneficencia de Huaraz, Cornell Peru Project f. correspondence, lists, receipts, bank statements g. Vicos, Ancash, Peru; Lima, Peru; Huaraz, Ancash, Peru
	7	 a. C, E, F b. information requests, tax statusnon-resident citizens, photographic study, film documentary, services rendered to project, authorization of project personnel, visitors to Vicos c. Blanchard, William C.; Caso, Alfonso; Collier, John Jr.
		 d. 1953-1956 e. Instituto Nacional Indigenista; Instituto Indigenista Interamericano; Concejo Provincial de Carhuaz; The Rockefeller Foundation, Cornell Peru Project
		f. correspondence, telegrams g. Vicos, Ancash, Peru; México, D. F., México; Carhuaz, Ancash, Peru; N.Y., N.Y., USA
	8	a. G b. field note books, expense arrangements of Cornell Peru ProjectMethodology Project, data processing, New Seed Potato analysiscritique, water pump maintenance, photographic study
		c. Ghersi Barrera, Humberto; Blanchard, William C., Goldsen, Rose K.; Guillén, Abraham
		 d. 1953-1956 e. Instituto de Estudios Etnologicos, Cornell Methodology Project, Cornell Peru Project, Gross Equipment S.A.
		 f. correspondence, reports, balance sheets, receipts, telegrams, lists
		g. Vicos, Ancash, Peru; Lima, Peru
	9	 a. H, I, K b. generator maintenance, hacienda lease, information request, services rendered to project, school children art projects, visitors to Vicos
		c. Blanchard, William C.; Holmberg, Allan R.; Kauffman Doig, Federico; Kedney, May; Kennedy, John e. Homelite Corporation, Cornell Peru Project, Sociedad
		Publica de Beneficencia de Húaraz
		f. correspondence, notes, receipts, report g. Port Chester, N.Y., USA; Vicos, Ancash, Peru; Saratoga Springs, N.Y., USA; Lima, Peru

Box	Folder		Description
		Subseries 2:	Administrative correspondence
		Section 2:	Correspondence Between Vicos Field Station and Outside Individuals or Organizations
2	10		 a. L, M b. shipments to USApersonal, hacienda administration, cow theft arbitration, generator and water pump installation, project financial account, army reservists, visitors to Vicos, information request, public health studies, Vicos school arrangements c. Blanchard, William C.; Luna G., Samuel; León Herrera, Celso; Luna Egúsquiza, Enrique T.; MacFadden, Arthur R.; Mangin, William; Montalvo Vidal, Abner d. 1952-1956 e. Cerro de Pasco Corp., Ministerio de Educación Publica, Cornell Peru Project f. correspondence, telegrams, program
			g. Vicos, Ancash, Peru; Lima, Peru; Carhuaz, Ancash, Peru
	11		 a. N b. project financial account, anthropometric study, visitors to Vicos c. Holmberg, Allan R.; Newman, Marshall T.; Blanchard, William C.; Collazos Chiriboga, Carlos d. 1953-1956 e. Servicio Cooperativo Inter-Americano de Salud Publica, The National City Bank of New York, Smithsonian Institution, Cornell Peru Project f. correspondence, bank statements, reports g. Vicos, Ancash, Peru; Lima, Peru; Washington, D.C., USA
	12		 a. O, P b. generator and water pump maintenance, financial account, medical survey, public health program c. Blanchard, William C.; Ortiz Vergara, Pedro; Patch, Richard W.; Coleto, Delfín; Ponce de Leon, Juan; Campos Sánchez, Manuel; Ramos C., Nilo; Villa Crespo, Moisés d. 1953-1956 e. D. W. Onan and Sons Inc.; Peerless Pump Division; Proyecto Programa Pativilca-Huarez-Huaylas del del Ministerio de Salud; Ministerio de Salud Publica y Asistencia Social f. correspondence, telegrams, receipts g. Minneapolis, Minnesota, USA; Vicos, Ancash, Peru; Lima, Peru; Los Angeles, Calif., USA; Huarez, Ancash, Peru

Вох	Folder		Description
====		Subsorios 2.	Administrative Correspondence
		Subsettes 2.	Administrative Correspondence
		Section 2:	Correspondence Between Vicos Field Station and Outside Individuals or Organizations
2	13		a. R
			b. customs arrangements, visitors to Vicos,data processing
			c. Blanchard, William C.; Reyes Coz, Jorge; Roos, Charles F.; Ryan, Bryce
			d. 1953-1956
			e. Econometric Institute, Inc., Cornell Peru Project f. correspondence, telegram
			g. Vicos, Ancash, Peru; Lima, Peru; New York, N.Y., USA; Ithaca, N.Y., USA
	14		a. S
			b. information requests, anthropometric study, public health program, anthropology and public health, nutrition studies, project supplies acquisition, comparative studies program organization, data processing, classification system, Vicos book outline, anthropology society activities
			c. Blanchard, William C.; Collazos Chiriboga, Carlos; Lube, Cató; Putnam, Emilie B.; Chaparro, Yolanda; Smith, Robert J.; Snyder, Joan
			d. 1953-1956
			e. Servicio Cooperativo Inter-Americano de Salud Publica, Servicio Cooperativo Inter-Americano de Produccion de Alimentos, Foreign Operations Administration Institute of Inter-American Affairs, Sociedad para Antropologia Peruana, Cornell Peru Project
			f. correspondence, telegrams, reports
			g. Vicos, Ancash, Peru; Lima, Peru; Ithaca, N.Y., USA
	15		a. T, U, V, W
			 visitors to Vicos, project supplies acquisition, personnel arrangements, project archives control, field director responsibilities, classification system, medical survey, public health studies
			c. Temple, Charles R.; Valcárcel, Luis E.; Blanchard, William C.; Vázquez D., Carlos A.; Vázquez, Mario C.; Villalobos, Enrique; Von Hagen, Victor
			d. 1953-1955
			e. Touring y Automovil Club del Peru, UNICEF, Universidad Nacional Mayor de San Marcos, Dean of Ithaca, Inc.,

Cornell Peru Project

Box	<u>Folder</u>		Description
		Subseries 2:	Administrative Correspondence
		Section 2:	Correspondence Between Vicos Field Station and Outside Individuals or Organizations
2	15 (cont	.)	f. correspondence, telegrams, reports, memorandum g. Vicos, Ancash, Peru; Lima, Peru; Ithaca, N.Y., USA
		Subseries 3:	Financial Accounts and Labor Records
2	16		 a. Ingreso Huertas b. hacienda produce account d. 1952-1956 f. bound notebook g. Vicos, Ancash, Peru
	17		 a. Ventas b. produce pricesVicosinos, produce prices-outsiders, produce purchase accounts of Vicosinos d. 1952-1956 f. bound notebooks (2) g. Vicos, Ancash, Peru
	18		 a. Construccion Local Escuela b. materials expenses, laborers weekly records, school construction d. 1952-1955 f. bound notebooks (3) g. Vicos, Ancash, Peru
	19		 a. Hacienda accounts and Labor Records b. seed purchases, laborers daily employment, produce purchasehacienda, produce sales to Vicosinos, produce sales to outsiders d. 1952-1956 f. bound notebooks (12) g. Vicos, Ancash, Peru
	20		 a. Diario I b. monthly produce chart, monthly income and expenses chart, hacienda daily expenditures d. 1952-1953 f. charts, bound journal (financial) g. Vicos, Ancash, Peru

Box	<u>Folder</u>		<u>Description</u>
		Subseries 3:	Financial Accounts and Labor Records
2	21		a. Planilla de Salarios b. salaríes hacíenda personnel d. 1952-1955
			f. bound journal (financial)g. Vicos, Ancash, Peru
	22		 a. Diario II b. school construction, hacienda receipts and disbursements, seed purchasesVicosinos, Diario I totals
			<pre>d. 1956 f. notes, lists, bound journal (financial) g. Vicos, Ancash, Peru</pre>

Sub Group I

Series 2

Cornell Peru Project Field Data

The CPP field data is the largest volume in the collection. It includes notes from field observations by members of the CPP, as well as data from various censuses, surveys, studies, and activities of the CPP. The field data was collected during studies ranging in date from 1946 to 1965. There are notes from field observations in Marcara, Vicos and Viru made before the start of the CPP by individuals subsequently involved with the project. The basic arrangement consists of five subseries which correspond to the major types of data in the series.

Subseries one contains field note books kept by members of the CPP. Humberto Ghersi Barrera's notebooks on Marcara, and some by Allan R. Holmberg and Mario C. Vazquez predate the start of the official project. Holmberg's field notebooks are the only unpublished, unformalized examples of his work in the collection. The field notebooks contain notes dated from 1948 to 1954, the early years of the project. This is the only ethnographic data in the collection, except for that contained in the HRAF (Human Relations Area Files).

Subseries two contains environmental and land tenure data. A photographic study of land tenure was made in 1955 to show the division of cultivated plots, or chacras, on Hacienda Vicos. Charts and photographs from the study are in this subseries. Environmental data include a daily temperature record kept in 1954-55, and topographic and hydrological charts from 1963.

The HRAF notes are in subseries three. Two metal file cabinets contain the notes, copied onto 8" x 5" paper and categorized according to the HRAF system. Allan R. Holmberg's field notes from Viru are the earliest material in the collection, dating from 1946. Besides the large section of notes on Vicos, there are notes from field work done in Viru, Marcara, Paucartambo, Recuayhuanca, Huaylas and Moche. The files also contain a section of hacienda records, consisting of field accounts, peon work records, and work records for other individuals who held special positions in the hacienda work force. There are three separate files of field notes on Vicos, one that was kept in Vicos, one in Lima, and one in Ithaca. The Ithaca file is by far the largest, and theoretically contains duplicates of notes in the other two files, but duplication is spotty. Additional sorting and filing has been limited to the Ithaca file and there are some notes yet to be sorted and filed. Anyone using the files should be warned that many notes have been over-categorized, which expands

quantity, but detracts from substance for the categories. A manual, Organization of Cultural Material, in Box 19 lists the subjects in each category and tells how to use the files.

Subseries four contains censuses and surveys taken during the CPP. The data sheets for all censuses are complete, and are organized by zones in Vicos. Aside from the censuses, there are data sheets for a land tenure survey and socio-economic status ratings. Censuses were taken in 1951, 1957, 1959, 1969, and 1963. For data on the New Seed Potato experiment see the analysis sheets in series one. Other questionnaires and code designs are also in series one.

The fifth subseries contains records from the Vicos health clinic. They are wall charts used to keep track of patient and staff attendance for 1954-1955.

<u>Box</u>	<u>Folder</u>		Description
		Subseries 1:	Field Note Books
3	1		 a. field note books b. project supplies, labor payments, slide identification, Quechua terms, daily routine, ethnographic descriptions
			c. Holmberg, Allan R. d. 1948-1953
			f. bound notebooks (8), memo book g. Callejon de Huaylas, Peru; Vicos, Ancash, Peru; Lima, Peru
	2		 a. Field Note Books b. accommodations arrangements, ethnographic descriptions, daily routine c. Snyder, Joan
			d. 1951-1952 f. bound notebooks (11)
			g. Recuayhuanca, Ancash, Peru
	3		 a. Field Note Books b. personal expenses, ethnographic descriptions, daily routine c. Ghersi Barrera, Humberto
			d. 1949 f. bound notebooks (15) g. Marcará, Ancash, Peru
	4		a. Field Note BooksSeries II
			b. ethnographic descriptions, daily routinec. Ghersi Barrera, Humbertod. 1949f. bound notebooks (6)
	5		g. Marcara, Ancash, Peru a. Field Note BooksSeries III b. ethnographic descriptions, daily routine
			c. Ghersi Barrera, Humberto d. 1949-1950 f. bound notebooks (5)
			g. Marcará, Ancash, Peru
	6		 a. Field Note BooksSeries IV b. ethnographic descriptions, daily routine c. Ghersi Barrera, Humberto d. 1950
			f. bound notebooks (4) g. Marcará, Ancash, Peru

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Вох	Folder		<u>Description</u>
		Subseries 1:	Field Note Books
3	7		a. Field Note Booksseries Vb. Quechua terms, ethnographic descriptions, daily routine
			c. Ghersi Barrera, Humbertod. 1950-1951f. bound notebooks (16), notes
			g. Marcará, Ancash, Peru
	8		a. Field Note Booksb. census taking, ethnographic descriptions,
			daily routine
			c. Ghersi Barrera, Humberto d. 1951-1952
			f. bound notebooks (7)
			g. Marcará, Ancash, Peru
4		Subseries 1:	Field Note Books (cont.)
	1		a. Field Note Books
			 b. personal expenses, ethnographic descriptions, daily routine
			c. Vázquez, Mario C.
			d. 1949 f. bound notebooks (12)
			g. Vicos, Ancash, Peru
	2		a. Field Note Books
			b. ethnographic descriptions, daily routinec. Vázquez, Mario C.
			d. 1949-1950
			f. bound notebooks (11)
			g. Vicos, Ancash, Peru
	3		a. Field Note Books
			 mayorales meetings, agricultural innovation sanitation survey, ethnographic descriptions, daily routine
			c. Vázquez, Mario C.
			d. 1951-1954f. bound notebooks (11)

<u>Box</u>	Folder		Description
		Subseries 2:	Environmental and Land Tenure Data
4	4		 a. Estudios de los terrenos de Vicos b. land tenure, geographical features d. 1955 f. photographs, maps, outline g. Vicos, Ancash, Peru
	5		 a. Vicos Maps and Hydrologic Data b. topography, water balance c. Vescelius, Gary S.; Basurto, B. d. 1963 e. Cornell Peru Project Archeological Program f. maps, charts g. Callejon de Huaylas, Peru
	6		 a. Temperature Record b. daily temperature range, meteorological remarks d. 1954-1955 f. bound notebook g. Vicos, Ancash, Peru

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Subseries 3: HRAF Files

HRAF File Cabinets

Box

Organization of Cultural Material guide book for HRAF classification

Alphabetical list of individuals who have notes in the files:

Viru: Abram, Michail E. Greaves, Thomas

Holmberg, Allan R.

Katz, J. McMahon, A.

Marcara: Ghersì Barrera, Humberto

Montalvo Vidal, Abner

Snyder, Joan

Vazquez, Mario C. 🕔

Paucartambo: Andrews, David

Andrews, Jean

Dorregaray, E.

Elvira D.

Hickman, Carolyn Hickman, John Mejia C., Rosa Vivas, Gerardo Zamudio B., A.

Moche: Epstein, Edward

Most, Stephen

Recuayhuanca: Snyder, Joan

Price, Richard

Huaylas: Doughty, Paul L.

Doughty, Polly

Vicos: Armas Montoro, Buenaventura

Barkin, David P.

Beck, Brenda

Blancas T., Corsino Blanchard, William C.

Castillo A., Hernan

Dobyns, Henry F.

Doughty, Paul L.

Doughty, Polly

Egoavil, Teresa

Fearer, Jane

Martinez, Hector

Milla Villera, Aida

Montalvo Vidal, Abner

Negron A., L.

Nelson, Steven R.

Ortiz R., Alejandro

Ortiz Vergara, Pedro

Palanques, Luisa

Pava, Norman

Price, Richard

Skalka, Harold

Soler Bustamante, Eduardo

Vazquez, Mario C.

<u>Box</u>	Folder		Description
		Subseries 4:	Censuses and Surveys
5	1		a. Vicos Anthropological Census 1951d. 1951f. census forms
	2		a. Aquía Census M. C. Vázquez d. n.d. f. print-outs
	3–10		a. Vicos 1957 Censusb. by subzonesd. 1957f. census forms
6	1		a. Vicos Land Tenure Surveyd. 1956f. survey forms
	2-9		a. Vicos 1959 Censusb. by subzonesd. 1959f. census forms
	10-13		a. Vicos 1961 Censusb. by subzonesd. 1961f. census forms
7	1-4		a. Vicos 1961 Census continuedb. by subzonesd. 1961f. census forms
	5-14		a. Vicos 1963 Censusb. by subzonesd. 1963f. census forms
	15		a. Vicos 1963 Census Analysis SESb. socio-economic status ratingsd. 1963f. lists

Box	<u>Folder</u>		Description
		Subseries 4:	Censuses and Surgeys
7	16		 a. 1963 Questionnaire Alers b. economic development, inhabitants of Vicos, heads of Vicos families c. Alers, J. Oscar d. 1963 f. questionnaires, lists
	17		 a. Vicos Innovation Alers b. innovation acceptance, modernization c. Alers, J. Oscar d. 1962 f. report, note
		Subseries 5:	Health Clinic Records
map c	ase J. drav	wer 15	
	1		 a. Asistencia de los enfermos a la cliníca A-V Enero-Diciembre b. clinic patients attendance record d. 1954? f. charts
	2		 a. Año 1954, Julio-Diciembre A-V Clinic Personnel b. clinic personnel attendance record, clinic patients attendance record d. 1954 f. charts
	3		a. Ano 1955 Enero-Diciembre A-Vb. clinic patients attendance recordd. 1955f. charts

Sub Group I

Series 3

Reprints, Publications, and Papers

Series three contains printed materials written between 1952 and 1966 (there is one paper written in 1972). The authors are generally people connected with the CPP, the Columbia-Cornell-Harvard Summer Field Studies Program, or the IIP, although there are popular descriptions and reports by individuals not connnected with these organizations. The bibliography is arranged alphabetically by author. Entries are numbered sequentially to correspond to the numbers used in The Cornell Peru Project Bibliography and Personnel (Dobyns and Vazquez, 1964). When the collection was accessioned some printed materials had been arranged by this system. There was also a sizable number of papers or publications not included in the bibliography, which had no arrangement. All the printed material has now been incorporated into the same numbering system. Material fitting one of the three section headings of the bibliography was numbered sequentially and placed at the end of the appropriate section. The titles of these sections are: Administrative Proposals, Reports and Documents; Cornell Peru Project Scientific Reports; and Popular Descriptions of the Cornell Peru Project. Two other sections were established for material that did not fit the original three. sections are: Cornell Comparative Studies of Cultural Change Scientific Reports; and Andean Indian Community Research and Development Program Scientific Reports. Some of the papers in Sections IV and V are based on information gathered as part of the CPP, but not produced as CPP publications.

SEE BIBLIOGRAPHY

Box	Folders	Description
8	1 - 32	Section I
•	33 - 88	Section II
9	89 - 156 II 5 - II 10	Section II Section II
10	II 11 - II 26 157 - 181 182 - 188 III 27 - III 30 IV 31 - IV 39	Section II Section III unordered Section III Section IV
11	IV 40 ~ IV 65 V 66 ~ V 69	Section IV Section V unnumbered folders (3)

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Box 8

Section 1: Administrative Proposals, Reports and Documents

Adams, Richard N.; and Cumberland, Charles C.

 United States University Cooperation in Latin America. East Lansing: Institute of Research on Overseas Programs, Michigan State University. (The Peru-Cornell Anthropology Project of Cornell University and the Instituto Indigenista Peruano, pp. 187-196). 1960.

Anonymous

2. "Nucleo Escolar Experimental de Vicos," <u>Boletin Indigenista</u>, XVIII: 1 (Marzo) 72-76. 1958.

Blanchard, William C.

- 3. "Tercer Informe del Proyecto Peru-Cornell," Peru Indigena, V: 12 pp. 153-159.
- 4. "Informe del Proyecto Peru-Cornell: 1954," Boletin Indigenista, XV: 3 (Sept.) 274-285. 1955.
- 5. "Informe del Proyecto Peru-Cornell: 1955," <u>Boletin Indigenista</u>, XVI: 2-3 (Agosto) 202-215. 1956.

Dobyns, Henry F.

- 6. Decimo Informe del Proyecto Peru-Cornell. 1961
- 7. "El Proyecto Peru Cornell," Peru Indigena, IX: 20-21 (Enero-Junio) 125-130. 1961.
- 8. Eleventh Report of the Cornell Peru Project. 1962. (missing)

Dobyns, Henry F.; and Monge M., Carlos

9. "20 Aniversario de la Sociedad de Antropologia Aplicada," <u>Peru Indigena</u>, IX: 20-21 (Enero-Junio) 141-143. 1961.

Holmberg, Allan R.

- 10. "La Realizacion del Proyecto de Antropologia Aplicada en la Zona de Vicos, Marcara, Ancash," <u>Peru Indigena</u>, II: 4 (Enero) 88-90. 1952.
- 11. "Estudios de Aculturacion en el Callejon de Huaylas," <u>Peru Indigena</u>, II: 4 (Enero) 91-95. 1952.
- 13. "Proyecto Peru-Cornell en las Ciencias Sociales Aplicadas," <u>Peru Indigena</u>, II: 5-6 (Junio) 158-166. 1952.

Holmberg, Allan R.; and Monge M., Carlos

17. "Prorroga del acuerdo Celebrado entre la Universidad de Cornell y el Instituto Indigenista Peruano," Boletin Indigenista, XVII: 4 (Dic.) 358-363. 1957.

Rubio Orbe, Gonzalo

24. <u>Promociones Indigenas en America</u>. 1957. (missing)

Vazquez, Mario C.; and Dobyns, Henry F.

30. <u>Summary Description of Vicos School Lunch Program</u>. 1961. Lima: Proyecto Peru-Cornell (mimeograph).

Velasco Nunez, Manuel D.

- 31. "Proyecto Peru-Cornell," Boletin Indigenista, XV: 1 (Marzo) 78-79. 1955.
- 32. "Informe del Instituto Indigenista Peruano: 1955," Boletin Indigenista, XVI: 1 (Marzo) 84-95. 1956.

Section II: Cornell Peru Project Scientific Reports

Allen, Fred H., Jr.

33. "Inheritance of the Diego (Dia) Blood-Group Factor," American Journal of Human Genetics, 10:1 (March) 64-67. 1958.

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- 34. "Migracion en Paucartambo, Pasco, Peru," Migracion e integracion en el Peru. editores: Henry F. Dobyns y Mario C. Vazquez. Lima, 1963.
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- 35. Integration in a Peruvian Indigenous Community. paper read before 60th Annual Meeting, American Anthropological Association, Philadelphia, Nov. 16, 1961.
- 36. Paucartambo, Pasco, Peru: an Indigenous Community and a Change Program.

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37. <u>Opinion Publica y Comunicacion en la Provincia de Tarma</u>. Líma: Proyecto Peru-Cornell (mimeograph). 1962.

Barkin, David

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IV.68a. "Particularization and Generalization as Processes in Ritual and Culture," The Journal of Asian Studies, XXIII (June, 1964), 83-87.

b. "Cultural Context and Population Control Programs in Village India," <u>Fact and Theory in Social Science</u>, ed. by Earl W. Count and Gordon T. Bowles. Syracuse: Syracuse University Press, pp. 201-221. 1964.

Box 11 (cont.)

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- d. "Values and Education in Cultural Perspective," <u>Values in American Education</u>, ed. by Theodore Brameld and Stanley Elam. Bloomington: Phi Delta Kappa, pp. 115-137. 1964.

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IV.70. Migracion en el Valle de Lurin. Lima: Instituto de Etnologia y Archeclogia, Universidad de San Marcos (mimeograph). 1961.

Richards, Cara E.

IV.71. <u>Dine Bitoh: Navajo Water Use</u>. Ithaca: Cornell University Department of Anthropology (mimeograph). 1964.

IV.72. Some Changes in Navajo Diet. Ithaca: Cornell University Department of Anthropology (mimeograph). 1964.

Sabogal W., Jose R.

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IV.74. Town and City in "Pre-Modern" Japan: Small Families, Small Households, and Residential Instability. Ithaca: Cornell University Department of Anthropology (mimeograph). 1964.

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IV.75. Fuentes de migracion al complejo agricola-industrial de Paramonga. (mimeograph).

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IV.78. Aquia and Caranavi: Two Cases of Internal Migration in the Andes. Ithaca: Cornell University (mimeograph).

IV.79. The "Castas": Unilinear Kin Groups in Vicos, Peru. Ithaca: Cornell University (mimeograph). 1964.

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IV.82. The Varayoc System in Vicos. Ithaca: Cornell University (mimeograph). 1964.

IV.83. <u>Viru: Land and Society</u>. Ithaca: Cornell University Department of Anthropology (mimeograph).

Yazquez, Mario C.; and Dobyns, Henry F.

IV.84. The Transformation of Manors into Producers' Cooperatives. Ithaca: Cornell University Department of Anthropology (mimeograph). 1964.

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V.86. "Some Occupational Aspects of Migration," <u>Economic Development and Cultural Change</u>, XIV:1 (Oct.) pp. 61-70. 1965.

Dobyns, Henry F.

V.87. Farm-Market Roads in Nation Development in the Central Andes. (mimeograph).

Maynard, Eileen A.

V.88. Indians in Misery. Ithaca: Cornell University Department of Anthropology. 1965.

Maynard, Eileen; Frølund, Bjarke; and Rasmussen, Christian

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Sub Group I

Series 4

Microfilms, Tapes, IBM Punch Cards

The material in this series is largely in machine readable form. Most of the tapes and microfilms were obtained by CPP personnel while working on publications. Some of the information is marginally related to the rest of the collection.

On one audio tape Rose K. Goldsen, Director of the Cornell Methodology Project in Vicos, discusses the material accessioned by the Cornell Archives as the Vicos Collection. There is a transcript of an interview with William Stein, a former CPP researcher, discussing the same subject. In the beginning stages of arrangement these sources were valuable when identifying the contents of the collection. The rest of the tapes are from a conference on Puerto Rican studies, held at Cornell in the early sixties.

There are microfilms of dissertations, old Peruvian newspapers, records of Bolivian mining operations, and some unidentified material from Puerto Rico. The microfilms of both the newspapers and the Bolivian mine records were used in ethnohistorical background research by CPP personnel. The newspaper microfilms were purchased from the British Museum, and consist of newspapers brought back to England by sea captains who landed in Callao, Peru. The dissertation microfilms are of studies done in Latin America. They were written between 1949 and 1972 (the 1972 dissertation was added by the arranger) and include a wide variety of topics.

The IBM punch cards come from various surveys and censuses. There is coding information for some of the data in Sub Group I, Series 2, Boxes 5 and 7; and in Sub Group II, Series 1, Box 12, and Series 2, Box 14. However, there is no coding information in the collection for much of the data. Henry F. Dobyns, Dept. of Anthropology, University of Arizona, Tucson, Arizona, can provide further coding information for data marked Bolivia and Social Matrix of Indigenous Communities.

Box	Description
DOM	

19 Tape: Rose K. Goldsen discussing material accessioned

as the Vicos Collection

Transcript: William W. Stein discussing material

Hegen, Edmund Edward, "Highways Into the

Amazon," 1962.

accessioned as the Vicos Collection

Computer Card File Cabinet	File Drawers	
1	1~6	Colquiri: Data from mining study done for COMIBOL field operations under Jack Brown
	7	Puerto Rico Study (Bournes)
	8	Social Matrix (Dobyns) Classification of types of Indigenous Communities 1960-1962
	9	Recuayhuanca and Marcará Censuses, also Aquía, Unspecified cards from 1952 Vicos Census
	10	Palca Census (Castillo) and Aquía (Vázquez)
	11	1963 Vicos Census
	12	1952 Vicos Census
	13	Bolivia: Burela, Dobyns, Ebants, et al.
	14	1953 and 1963 Vicos Surveys (Goldsen and Alers)
	15 & 17	Checras Cards
	16, 18-20	Bolivia Community Studies
Microfilms File Cabinets	File Drawers	
1	1	Peru Newspapers (14 films)
2	2*	Dissertations:
		Harwood, Ruth, "An Experiment in Community Development in El Salvador," 1963.

Microfilms Files Cabinets

File Drawers

3

2 (cont.)

2* (cont.)

Himes, James R., "The Utilization of Research for Development: Two Case Studies in Rural Modernization and Agriculture in Peru," 1972.

Hundley, Norris C. Jr., "Dividing the Waters: Mexican-American Controversies Over the Waters of The Colorado River and the Rio Grande 1880-1960,"

Keller, Frank L., "Geography of the Lake Titicaca Basin of Bolivia," 1949.

Klein, Ralph, "The Self-Image of Adult Males in an Andean Culture," 1963.

Puerto Rico--Various (3 films) also 8 tapes of conference--Puerto Rico.

"Aromayo" Bolivia: Records of mining contracts and companies 1944-1951 (11 positive and 11 negative films)

Sub Group I

Series 5

Visual Materials

The material in this series adds to the depth of the collection by providing a visual dimension to documentation. Photographs and slides are clear and present a wide variety of scenes.

Photographs of Vicos, showing the setting and impact of the CPP, have been mounted as an exhibition collection. John Collier, Jr. and Abraham Guillen did a good deal of photography for the project; it is quite possible that they took these photographs although there are no labels. There are also mounted photographs depicting other places in Peru. Henry F. Dobyns can provide information for a group of photographs taken of the Colquiri project in Bolivia.

The slides include scenes from Vicos, the Callejon de Huaylas, Cuzco and Bolivia. Those of fiestas in Vicos and other activities of Vicosinos are especially good.

May Kedney, although not connected with the CPP, taught in the Vicos school the summer of 1954. The paintings by Vicosino boys were produced under her supervision. As an art instructor at Skidmore College, Saratoga Springs, N.Y., she was interested in how artwork by Vicosino children would compare in subject and style with that of American children. Vicosino children had not done artwork of this type before. They started painting with clay pigments on newspaper, using brushes made from horse tail hair set in builder's glue. Later Kedney got water colors and plain paper. She also gave the boys some clay to model. The results are in the Cornell University Anthropology Collections in McGraw Hall.

The Anthropology Department also has a copy of the movie So That Men Are Free, filmed by CBS in 1962 as part of the series The Twentieth Century: You Are There, sponsored by the Prudential Insurance Co. In the movie the CPP is depicted as the benevolent agency of liberation for the oppressed serfs on Hacienda Vicos. The movie is more explicit than published reports concerning motives underlying the project; it is intended to show the American people how their highest values were capable of transforming another society.

Map Case	Drawer	Description
J	15	Photographs of Vicos, Ancash, Peru activities (3) funeral (1) DDT spraying (1) Vicos house (1) hacienda payday (1)
		Photographs of Peru Callejón de Huaylas (1) Recuayhuanca, Ancash (1) Mayobamba, Ancash (2) Virú, Ancash (1) Sacsahuaman (1) Machu Picchu (1) Unidentified Portrait (1)
		Photographs of Colquiri, Bolivia by Carlos Hochmann, 1965 green-mounted, small photos (9) white-mounted (49)
		NegativeWomen Harvesting in Vicos Sketch of Mayobamba village plan
	18,19,20	Paintings by Vicos School Boys large paintings (35) small paintings (11)
		Photographs of Vicos, Ancash, Peru hacienda personnel (4) buildings (14) children and school (12) activities (16) DDT spraying (1) landscape (10) musicians (1) Vicos home (1) funeral (2) portraits of women (2) army reservists (2)

Box

19

Slides

Huaylas, Ancash, Peru Bolivia Vicos, Ancash, Peru Cuzco, Peru

Mayobamba, Ancash, Peru Callejón de Huaylas, Peru

Movie: So That Men Are Free. Available from the Cornell University Department of Anthropology, McGraw Hall.

Sub Group II

Series 1

Cornell Methodology Project, Administration and Operations in Vicos, Ancash, Peru

Material in this series relates to the administration and study design of the Cornell Methodology Project, conducted by the Department of Sociology. Field studies in Vicos were done in the summer of 1953. Vicos was chosen as the site because facilities established at the field station and information already gathered cut down the preparation and cost necessary to get the project underway. The analysis and processing of the field notes was done in Ithaca in 1954-1955. Edward A. Suchman headed the entire Cross-Cultural Methodology Project and Rose K. Goldsen directed the field operations in Vicos. She was assisted by Richard Patch and Bryce Ryan, and had three men to do the interviewing, plus one to collect life histories.

The series is divided into three subseries, distinguished by types of information generated by the project. Subseries one contains lists of informants and respondents compiled from various censuses and surveys to determine who among the Vicosinos had been interviewed, what their status was, and in which zone of Vicos they lived.

Subseries two consists of material pertaining to the development of the study design, classification system, and qualitative survey interview. The Vicos Classification System for Qualitative Data was developed to organize and classify information collected in interviews. Frames of reference used for choosing categories were: basic human needs, cultural aspects, and politicopsycho-socio aspects. Categories are fitted to the substantive investigations of the Methodology Project, in contrast to the generalized categories of the HRAF system. In order to use a survey to test cross-culturally the concepts investigated at Vicos, the concepts were first generalized and then made culturally specific by the items used as measurements. This procedure was used in developing the Bang Chan questionnaire, although there is no material in the collection that explains how specific items were transferred to a general level that would permit cross-cultural comparisons. The qualitative survey was developed to attempt to test concepts usually studied by non-survey interviewing. In subseries one there is a complete index of informants to whom the qualitative survey was administered that includes fact sheets summarizing interview results. Although the Methodology Project generated a large amount of data, controls on its collection were insufficient to make comparisons of the effectiveness of interview techniques possible. The intention of this study, to use different types of questions to dissect and examine pieces of culture, is now recognized as misconceived. Rose Goldsen's report on the study design in this subseries provides many insights into problems of relating theoretical constructs with actual field experiences.

The other material in this subseries relates to the design of the four Tareas or field tasks for testing the effects of variations in interview techniques. Two variations were tested, one, using an interpreter vs. not using an interpreter, and two, using a structured interview format vs. an unstructured interview format. The variables affecting acceptance of innovation, in this case new seed potatoes, were the focus of the Tareas. This subseries includes instructions to field interviewers who carried out the Tareas, as well as the formal report on the analysis of the introduction of new seed potatoes. It should be noted that a "package deal" was being examined. Although new seed potatoes were the focus of innovation, insecticides, fertilizers and different planting techniques were concurrently introduced by the CPP. Other miscellaneous survey forms are included in this subseries.

Subseries three contains coding information for the qualitative survey and for the 1952 household and individual census. The information from these studies was coded and punched onto IBM cards. Those for the 1952 census are in the IBM Punch Card file cabinet. The material in this subseries pertains to the organization and development of the codes.

<u>Box</u>	Folder		Description
		Subseries 1:	Lists of Informants and Respondents
12	1		 a. Vicos Master Lists, Survey Sample, Call Sheets, Previous Call Lists b. Vicosinos-census list d. 1953 f. lists
	2		a. Systema de Llamar a los Peones
			b. peones labordaily recordsd. 1953f. lists
	3		a. PIAS Respondentsb. Vicosinos listed by zonesd. 1953f. lists
	4		a. Vicos Names mentioned in Interviewsb. Vicosinos mentioned in Methodology interviewsd. n.d.f. lists
	5		 a. Index of Informants, Respondents b. Vicosinos mentioned in Tareas 1 and 2
	6		 a. Vicos Survey: Lists of Survey respondents b. Heads of households, Qualitative survey card format d. n.d. f. lists
	7		 a. Index of Names of Informants covered by Qualitative Methods b. Vicosino names corrected from tareas d. n.d. f. lists
	8		a. Movilizablesb. Army reservistsd. 1953f. list

Вож	Folder		Description
12		Subseries 1:	Lists of Informants and Respondents (cont.)
	9		 a. Those Who Accepted NSP b. new seed potato acceptors d. 1952-1954 f. lists, correspondence
	10		 a. Vicos Qualitative Informant Index b. Vicosinos summary case reports d. 1953 f. bound volume of questionnaires
		Subseries 2:	Development of Classification System and Analytic Models
12	11		 a. Rating Sheet for Qualitative Data, Vicos b. qualitative survey variablescomments d. n.d. f. lists, questionnaires
	12		 a. Vicos Survey Mortality b. unuseable survey interviews d. n.d. f. notes, questionnaires
	13		 a. Vicos: Categories for Qualitative Material b. cross-referenced categories drafts d. n.d. f. lists
	14		 a. Vicos Qualitative Data, Development of Classification Code b. classification system, drafts; cross referenced categories, drafts; classification system, frame of references; classification system, final form d. 1954 f. lists, memorandum, outlines, questionnaire
	15		 a. Classification System for Vicos Qualitative Data b. classification system d. 1954-1955 f. outline
	16		 a. Vicos: Instructions Given to Field Workers for Tareas b. Tareas I-IV field instructions. d. 1953 f. handbook (unbound)

<u>Box</u>	<u>Folder</u>		Description
		Subseries 2: Dev	velopment of Classification System and Analytic Models (cont.)
12	17	b. d.	Vicos: Training Workers, Instructions field training, seed potato tarea variables code, community change 1953-1962 pamphlet, outlines, questionnaires
	18	d.	Inventory of Vicos Qualitative Data qualitative data processing procedure, Quechua terms 1954-1955 reports, lists
	19	d.	TAT's Thematic Apperception Test, instructions to testor n.d. notes, test
	20	b. c. d.	
	21	b. d.	Comparative Analysis of Acceptance of NSP new seed potato introduction, new seed potato acceptors1952, agricultural innovation 1955 correspondence, reports
	22	b. d.	Vicos: Hand Talkies qualitative survey responses n.d. notes, lists
	23	a. b. d. f.	qualitative survey drafts, Tarea #4 objectives, interview techniques, technological innovation 1953
	24	a. b. d. f.	recommended revisions, Quechua terms 1953

Box	Folder	Description			
		Subseries 2: Development of Classification System and Analytic Models (cont.)			
12	25		 a. Survey Interview Form, Outline of Tareas b. qualitative survey, Tareas I-IV instructions, study design d. 1952-1953 f. outlines, questionnaire 		
	26*		 a. RKG Progress Report and Study Design 1953 b. field study analysis of objectives and technique, field interviewers evaluation, structured vs. unstructured interview technique c. Goldsen, Rose K.; Suchman, Edward A. d. 1953-1955 		
			f. correspondence, outline, report		
	27		 a. Soler Tareas #2-3, Survey Questionnaires b. Qualitative Survey recommendations, Qualitative Survey responses, Seed Potato tarea variables code, classification system 		
			d. 1953-1954f. lists, questionnaires, outline, notes		
	28		 a. Barnett School Children Study b. sociometric survey of school children d. 1953 f. questionnaire 		
	29		 a. GoldsenTarea #3memo, Patch-notes b. study design, field training d. 1953 f. memoranda, outline, notes 		
	30		a. Vicos Survey Introductionb. surveysd. 1953f. questionnaires, note		
	31		 a. Ryan Tareas, democracy, Development of Questionnaires b. study design, democratic values analysis, cross-cultural methodology study design, interview techniques, technological innovation d. 1953 		
			f. memoranda, outlines, questionnaires		

Box	Folder	<u>Description</u>			
		Subseries 2: Development of Classification System and Analytic Models (cont.)			
12	32	a.	RKGCorrespondence and Problem of Cross-Cultural Equivalence of Data		
		b.	· · · · · · · · · · · · · · · · · · ·		
			Goldsen, Rose K.; Ralis, Max; Suchman, Edward A.		
		d.	·		
		r.	telegrams, correspondence		
	33	a.	RKGCorrespondence and Problem of Cross-Cultural Equivalence of Data		
		b.	cross-cultural methodology study design, comparative method in Sociology, budget for India study, India and Thailand field study recommendations, VicosBang Chan matching questionnaire items		
		c.	Goldsen, Rose K.; Ralis, Max; Textor, Robert B.		
		d.	1955		
		f.	outline, correspondence, memorandum		
		Subseries 3: Ce	ensus and Qualitative Survey Coding Information		
12	34	a.	Vicos: Coding Information		
		b.	<pre>interviews of rich Vicosinos, seed potato tareas variables code, army veterans, classification system, peones list from 1956, qualitative survey</pre>		
		đ.	1953-1956		
		f.	notes, outline, list, questionnaire		
	35	a.	Seed Potatoes TareaVariables Code		
		b.	qualitative survey variables, seed potato Tarea variables code drafts, qualitative survey recommended revisions		
		đ.	1955		
		f.	outlines, memorandum		
	36	a.	VicosCard Design and Code Sheets		
		b.	4		
		d.	· · ·		
		f.	notes, statistics, lists		
	37	a.	Vicos: Survey Code of Westernization		
		b.	1		
		d.			
		f.	lists, outline		

Box	Folder	Description		
		Subseries 3:	Census and Qualitative Survey Coding Information (cont.)	
12	38		 a. Vicos Census Code and Qualitative Survey Code b. household census coding design, individual census coding design, qualitative survey coding design, heads of households 	
			d. 1953	
			f. lists, outlines	
	39		a. Coding Material 1952 b. household census coding design, individual census	
			coding design	
			d. n.d.	
			f. lists, outlines, print-outs	
	40		a. Coding Sheets for Household Cards	
	••		b. household census coding design	
			d. n.d.	
			f. outline, statistics	
	41		a. Print-Out Sheets for Household Census	
	-		b. household census coding design	
			d. n.d.	
			f. print-outs	

Sub Group II

Series 2

Cornell Methodology Project Field Data from Vicos, Ancash, Peru

The Cornell Methodology Project field data consists of the field notes from Tareas 1-4, and the qualitative survey data. Included as well are the 1952 census returns, which were analyzed for use by the Methodology Project, although actually collected by the CPP.

Subseries one, the field notes and life histories from Tareas 1-4, is arranged alphabetically by interviewers, with miscellaneous data at the end. It contains field data collected by the Methodology Project field interviewers, copied from their field note books (cuadernos) and divided into four sections, one for each Tarea (field task). The original chronological order of the interviews has been maintained. Instructions for each Tarea, specifying interview methods and objectives, are in Sub Group II, Series 1, Box 12. Procedures for the interviewers were systematically varied with respect to degree of structure for the interview and use of an interpreter. All four Tareas focused on ways to measure cultural change induced by a technologically advanced culture, in this case the operations of the CPP. The field notes have been marginally annotated, following the classification categories of the Vicos Classification System for Qualitative Data.

The field notes for Tarea 1 contain case studies conducted to test the hypothesis "the greater the variety, frequency, and duration of contacts between Vicosinos and people outside the Hacienda world, at present or in the past, the greater the disposition to accept technological innovations in agriculture," (Box 12, folder 16). Life histories, a standard anthropological tool, were also collected from Vicosinos to provide a historical perspective. For Tarea 2, also in case study form, the assignment was to concentrate on the introduction of new seed potatoes, and what factors affected individual acceptance or rejection of this innovation. The field notes for Tarea 3 are again case studies, testing the hypothesis "the process of the acculturation of a rural community toward the cultural elements, beliefs, and practices of a modern westernized culture, or secular--urbanized--one, reaches its maximum under conditions of the lack of integration of individuals in that community, and, on the other hand it reaches its minimum when the individuals of a community are more integrated in their community," (Box 12, folder 16). The field notes obtained for Tarea 4 were collected in three ways, case studies, spot studies, and observations. This was done to permit comparison of the quality of data obtained through each method. The topics assigned covered material culture, social characteristics, forms of social organization, and attitudes and values. They were broad to allow for individual variation

among the interviewers, as well as differences in methodology. Also included are the results from a special spot study on the case of Tomas Meza, whose hacienda land was confiscated because he never did his hacienda work. Aside from the specific subjects assigned for each Tarea, much of the material in the field notes relates to daily life in Vicos and the conditions of interviewing. The material concerning the Vicos Classification System for Qualitative Data which was used to categorize the field notes is in Sub Group II, Series 1, Box 12. In the miscellaneous field data there are field notes taken by Clifford Barnett, notable because most of his field notes are missing from the HRAF files. There are also notes concerning the Methodology Project written by Juan Elias Flores, Eduardo Soler Bustamante, and Froilan Soto Flores, who were the field interviewers for the Methodology Project.

Subseries two consists of forms from the 1952 census. There are a total of 241 respondents (households). The information from this census was coded onto household cards and individual cards. There is information concerning the codes in Sub Group II, Series 1, Box 12, and the coded IBM punch cards are in file drawer 12.

Subseries three consists of the qualitative survey forms collected by the Methodology Project in 1953. There are 282 household respondents to this survey.

Вох	Folder		Description		
		Subseries 1:	Field notes and Life Histories from Tareas 1-4		
13	1		 a. Vicos Qualitative Data "Code Book" b. cross-referenced categories, classification system, category definitions d. 1954-1955 f. bound volume 		
	2		 a. Flores: names found in cuadernos and Tarea #1 b. tareasnames mentionedcorrect identifications, case studies c. Flores, Juan Elias d. 1953 f. lists, notes 		
	3		a. Flores: Tarea #2b. case studiesc. Flores, Juan Elias		
			d. 1953 f. notes		
	4		a. Flores: Tarea #3b. case studiesc. Flores, Juan Eliasd. 1953f. notes		
	5		 a. Flores: Tarea #4 and observation b. case studies, 48 hour observations c. Flores, Juan Elias d. 1953 f. notes 		
	6		 a. Flores: Tareas duplicates cuadernos 1 & 2 b. project personnelevaluations, case studies c. Flores, Juan Elias d. 1953 f. notes 		
	7		 a. Flores: Tareas duplicates cuadernos 3 & 4 b. case studies c. Flores, Juan Elias d. 1953 f. notes 		

Вох	Folder	Description		
		Subseries 1:	Field notes and Life Histories from Tareas 1-4 (cont.)	
13	8		 a. Flores: Tareas duplicates cuadernos 5 & 6 b. case studies, observation in the school c. Flores, Juan Elias d. 1953 f. notes 	
	9		 a. Flores: Tareas duplicates cuadernos 7 & 8 b. case studies, 48 hour observations c. Flores, Juan Elias d. 1953 f. notes 	
	10		a. Gomez: Tarea Life historiesb. life historiesc. Gomezd. n.d.f. vitae	
	11		 a. Gomez: Life histories, duplicates b. life histories c. Gomez d. n.d. f. vitae 	
	12		 a. Gomez: Tarea observations b. 48 hour observations, life history c. Gomez d. 1953 f. notes, vita 	
	13		a. Gomez: 48 hour observation duplicateb. 48 hour observationc. Gomezd. 1953f. notes	
	14		 a. Soler: names found in cuadernos and Tarea #1 b. Tareasnames mentionedcorrect identifications, case studies c. Soler Bustamante, Eduardo d. 1953 f. notes, lists 	

<u>Box</u>	Folder	Description		
		Subseries 1:	Field Notes and Life Histories from Tareas 1-4 (cont.)	
13	15		 a. Soler: Tarea #1 (Life Histories) and Tarea #2 b. life histories, case studies c. Soler Bustamante, Eduardo d. 1953 f. notes, vitae 	
	16		a. Soler: Tarea #3b. case studiesc. Soler Bustamante, Eduardod. 1953f. notes	
	17		 a. Soler: Tarea #4 and observation b. case studies, spot study, 48 hour observation c. Soler Bustamante, Eduardo d. 1953 f. notes 	
	18		 a. Soler: Tareas, Duplicates cuadernos 1&2 b. case studies, life histories, observation of a child's c. Soler Bustamante, Eduardo funeral d. 1953 f. notes, vitae 	
	19		 a. Soler: Tareas Duplicates cuadernos 3&4 b. case studies, 48 hour observations, spot studies, photographs—use in observations c. Soler Bustamante, Eduardo d. 1953 f. report, notes 	
	20		 a. Soto: Names found in cuadernos and Tarea #1 b. tareasnames mentionedcorrect identifications, case studies c. Soto Flores, Froilán d. 1953 f. lists, notes 	
	21		 a. Soto: Tarea #2 b. case studies c. Soto Flores, Froilán d. 1953 f. notes 	

Вох	Folder	Description		
		Subseries 1:	Field Notes and Life Histories from Tareas 1-4 (cont.)	
13	22	1	a. Soto: Tarea #3 b. case studies, c. Soto Flores, Froilán d. 1953 f. notes	
	23		a. Soto: Tarea #4 and observation b. case studies, child's funeralobservation, 48 hour observation, 24 hour observation c. Soto Flores, Froilan d. 1953 f. notes	
14	1	1	a. Soto: Tareas Duplicates cuadernos 1&2 b. case studies c. Soto Flores, Froilán d. 1953 f. notes	
·	2]	a. Soto p. 49-102 Duplicate cuaderno #2 b. case studies c. Soto Flores, Froilán d. 1953 f. notes	
	3]	a. Soto: Tareas Duplicates cuardernos 3&4 b. case studies, 24 hour observation, 48 observation c. Soto Flores, Froilan d. 1953 f. notes	
	4	1	a. Vicos Miscellaneous Field Data b. table of contentsfolders 4-7, field work organization, home lifeobservationsinstructions, Vicosino home lifefield study c. Barnett, Clifford d. 1953 f. notes, list, minutes	
	5	;]	a. Vicos: Miscellaneous Field Data b. interview evaluation, Mestizo attitude toward Indians, personal data of interviewers, acceptance of innovation, acculturation c. Barnett, Clifford; Estremadoyro, Ricardo; Flores, Juan Elias d. 1953-1954 f. vitae, notes, correspondence, outline	

Box	Folder	Description			
		Subseries 1:	Field Notes and Life Histories from Tareas 1-4 (cont.)		
14	6		 a. Vicos: Miscellaneous Field Data b. personal data of interviewer, photographsuse in observations, Thematic Apperception Testresponse, interview evaluation, tarea evaluation, interpreter 		
			evaluation, home life observationsevaluation, survey questionnaire evaluation		
			c. Soler Bustamante, Eduardo; Soto Flores, Froilán		
			d. 1953		
			f. reports, notes, vitae		
	7		a. Vicos: Miscellaneous Field Data		
			 interpreter evaluation, tarea evaluation survey pretest, Vicos schoolobservation 		
			c. Flores, Juan Elias		
			d. 1953		
			f. reports, notes		
	8		a. Interviews and Life Histories		
			b. Mestizo attitude toward Indians, recorded interview evaluation		
			c. Estremadoyro, Ricardo; Boluarte, Francisco		
			d. 1953		
			f. notes, reports		

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<u>Box</u>	Folder			Description
14	9	Subseries 2:	195	2 Census Forms
			a. b. d. f.	respondents #1-43
	10		a. b. d. f.	respondents #44-84 1952
	11		a. b. d. f.	1952
	12		a. b. d. f.	1952
	13		a. b. d. f.	respondents #150-187 1952
	14	•	a. b. d. f.	respondents #188-225 1952
	15		a. b. d. f.	respondents #226-241 1952

Box	<u>Folder</u>		Description
		Subseries 3:	Qualitative Survey Interviews
15			a. Survey Interviewsb. respondents 000-100d. 1953f. questionnaires
16			a. Survey Interviewsb. respondents 101-205d. 1953f. questionnaires
17			a. Survey Interviewsb. respondents 206-282d. 1953f. questionnaires

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Sub Group II

Series 3

Cornell Cross-Cultural Methodology Project in Thailand and India

This series contains field data and organizational material from the Cornell Cross-Cultural Methodology Project studies in India and Thailand. Max Ralis and Robert B. Textor were directors of field operations. Rose K. Goldsen was responsible for responding to the field reports and inquiries sent to Ithaca. This material has no relation to the CPP, but was accessioned as part of the Methodology Project files. The time span for the studies included in this series is 1954-1955.

There are two subseries. The first contains administrative notes and field data, including miscellaneous publications, and correspondence between field directors in India and Thailand and the project coordinator in Ithaca. A pretest questionnaire, with items measuring the same concepts as the Vicos questionnaire, was developed and administered in Thailand. Material relating to its development and use is in this subseries. Much of the correspondence deals with methodological questions in designing the questionnaire or with field arrangements. The correspondence in Sub Group II, Series 1, Box 12, pertains to the development of a survey questionnaire which would allow for cross-cultural comparison. A questionnaire by Rose K. Goldsen, called Development of Matching of Items in Vicos Questionnaire with Concepts they are Designed to Measure--Suggested Parallel Items for Bang Chan, is the main subject of the correspondence between her and Edward Suchman in Ithaca, and Max Ralis in India and Thailand.

Subseries two consists of the Thailand Questionnaire answer sheets, numbering 302 completed forms. A copy of the questionnaire which was administered is in subseries 1, folder 5.

Вох	Folder		Description		
		Subseries l:	Administrative Notes and Field Data		
18	1		 a. Siamese Rice Village b. Bang Chanpreliminary study c. Sharp, Lauriston; Hauck, Hazel; Janlekha, Kamol; Textor, Robert B. d. 1953 		
			f. bound volume g. Bang Chan, Thailand		
	2		 a. M. R. Field Notes b. preliminary study arrangements, travel descriptions, pretesting questionnaire, field work c. Ralis, Max 		
			d. 1954-1955f. correspondence, notesg. Bombay, New Delhi, Lucknow, Benares, Senapur,		
	3		Delhi, Mian BighaIndia; BangkokThailand a. Correspondence of Thai Methodology Project b. cross cultural methodologystudy design, financial		
			statement, American scholars in India c. Ralis, Max; Textor, Robert B. d. 1955 f. correspondence, clippings, memorandum, questionnaire g. Mian Bigha, BiharIndia; BangkokThailand		
	4		 a. Bang ChanInterviewer Guide 1955 b. field interviewersinstructions d. 1955 f. outline 		
	5		 g. Bangkok, Thailand a. ThaiMaster Questionnaire and Answer Sheet b. Thai Questionnaireresponses, Thai Questionnairevariables, Thai Questionnairecomments on 		
			effectiveness, field interviewing, pretesting questionnaire d. 1955-1956 f. notes, questionnaires, memoranda, outline, lists, statistics, reports, correspondence, receipt		
			g. Bang Chan, Thailand		

Вож	Folder	Description		
		Subseries 1: A	dministrative Notes and Field Data (cont.)	
18	6		. Correspondence, Thailand . field workarrangements, cross-cultural methodology study design	
		c	. Ralis, Max; Textor, Robert B.; Skinner, William	
			1954-1955	
			. correspondence	
		g	. Calcutta, Lucknow, Bihar India; Bangkok, Thailand	
	7	a	. ThaiInterviewer Guide, Questionnaires, Memos	
		t	 Samlor driverssurveystudy design, Methodology Projectobjectives, cross-cultural methodology- Study design, field tripThailand, field inter-viewersinstructions 	
		c	. Textor, Robert B.; Ralis, Max	
			. 1954–1955	
		f	memoranda, questionnaires, notes, correspondence, report, clipping, lists, outline, map	
		g	. Bangkok, Bang ChanThailand; Thailand, Lucknow India	
	8	ā	. Reports on Instructor TrainingIndia	
		h	. training programsIndia	
		đ	1. 1955	
		f	• pamphlets	
		g	India, Calcutta, Madras, Bombay, BangloreIndia; Lockport, New York, USA	
	9	b	Cornell Thailand Project Bibliography Materials and Thailand ProjectPersonnel Personnel 1964 1964 report, bibliography	
			<u> </u>	

Box	Folder		Description
		Subseries 2:	Thailand Questionnaire Answer Sheets
18	10		 a. Thai Questionnaires, checked by M.R. b. Thai Questionnaires #1-44 d. 1955 f. questionnaires g. Bang Chan and Khana Yao, Thailand
	11		 a. Thai Questionnaires b. Thai Questionnaires #51-82 d. 1955 f. questionnaires g. Bang Chan and Khana Yao, Thailand
	12		a. Thai Questionnairesb. Thai Questionnaires #83-109d. 1955f. questionnaires
	13		 g. Bang Chan and Khana Yao, Thailand a. Thai Questionnaires b. Thai Questionnaires #110-151 d. 1955 f. questionnaires
	14		g. Bang Chan and Khana Yao, Thailand a. Thai Questionnaires b. Thai Questionnaires #152-200 d. 1955 f. questionnaires
	15		 g. Bang Chan and Khana Yao, Thailand a. Thai Questionnaires b. Thai Questionnaires #201-237 d. 1955 f. questionnaires g. Bang Chan and Khana Yao, Thailand
	16		 a. Thai Questionnaires b. Thai Questionnaires #238-273 d. 1955 f. questionnaires g. Bang Chan and Khana Yao, Thailand

<u>Box</u>	<u>Folder</u>	Description			
		Subseries 2:	Thailand Questionnaire Answer Sheets (cont.)		
18	17		 a. Thai Questionnaires b. Thai Questionnaires #274-302 d. 1955 f. questionnaires g. Bang Chan and Khana Yao, Thailand 		
	18		 a. Thai Questionnaires b. Thai Questionnaires #303-334 d. 1955 f. questionnaires g. Bang Chan and Khana Yao, Thailand 		

Order Information:

This publication may be ordered from Budget and Accounting Office, 234 Olin Library, Cornell University, Ithaca, New York 14853 The price is \$4.00.